



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 4960

SERIAL NUMBER 09/857,496	FILING or 371(c) DATE 06/05/2001 RULE	CLASS 705	GROUP ART UNIT 3691	ATTORNEY DOCKET NO. 053775-5001	
APPLICANTS Bradley J. McGill, Birmingham, AL; Evan J Winston, New York, NY; ** CONTINUING DATA ***** This application is a 371 of PCT/US01/11748 05/04/2001 ** FOREIGN APPLICATIONS ***** ** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY **					
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and /OLABODE AKINTOLA/ Acknowledged Examiner's Signature	<input type="checkbox"/> Met after Allowance OA Initials	STATE OR COUNTRY AL	SHEETS DRAWINGS 11	TOTAL CLAIMS 64	INDEPENDENT CLAIMS 8
ADDRESS MORGAN, LEWIS & BOCKIUS LLP 1701 MARKET STREET PHILADELPHIA, PA 19103-2921 UNITED STATES					
TITLE Derivative securities and system for trading same					
FILING FEE RECEIVED 951	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		